#### **EXHIBIT** A

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Grass® Instrument Division
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Contact Person: Steve Johnson February 20, 1997

# 510(k) Summary of Safety and Effectiveness Grass® NightVision Software

### 1. Identification of the Device

Classification Name: Electroencephalograph, 84GWQ, Reg.# 882.1400

Common/Usual Name: Polysomnograph/Electroencephalograph Software

Proprietary-Trade Name: Grass® Brainware PSG/EEG Software

## 2. Equivalent Legally Marketed Devices

This product is similar in design, function, and intended use to the Stellate Systems Eclipse software and the Melville Diagnostics Sandman<sup>TM</sup> software systems.

Like these equivalent software products, Grass® NightVision is designed to run on a personal computer platform and interface with signal conditioners/amplifiers to: monitor the signals in a real-time graphical "chart" view on the computer monitor, digitize and store the signals to the computer's hard drive, replay the data on-screen, simplify the marking and tabulation of diagnostically significant events and measurements, and generate summary reports and graphs for technologist/physician review.

## 4. Theory of Operation and Description of the Device

The Grass® NightVision is a Microsoft Windows95<sup>TM</sup> application software package for monitoring, recording, and reviewing physiological signals. It includes features for assisting the user with making measurements, marking significant events, and tabulating the events for easier interpretation. The software consists of two major modules, the Recorder and the Reviewer, and several minor modules for setup, montage editing, file management, and report generation. Together with a personal computer and a set of physiological signal conditioners (like the Grass® Model 15 amplifier system), the NightVision software enables the user to replace or upgrade traditional strip-chart recorders used in the sleep laboratory with modern, digital recording and review methods.

The Recorder module interfaces with an off-the-shelf A/D board (National Instruments AT-MIO-64E3) using a software driver library supplied with the board. A set of user specified channels (1 to 32) are sequentially sampled, digitized, and displayed on the screen in graphical, chart-recorder format, mimicking the traditional paper based strip-chart recorders. The Recorder module allows the user to specify the digitizing sample rate and a recording montage (the channel set, labels, and amplifier settings) to use for the recording session. The operator has manual control over the start and stop of the recording, much like a tape recorder. In addition, the user can vary the settings of each on-screen "pen" (color, position, sensitivity, etc.) and can also make user definable event marks for annotation of the recording. The effect is a "virtual" strip-chart recorder where the signal data is saved to the computer's hard disk. This module creates two files, the waveform data file and a log file of the user keyed real-time annotations.

The Reviewer module allows the technologist/physician to review a previously recorded data file on-screen. The recording data is displayed in a high-resolution window with controls for paging forward and backward, expanding/compressing the time scale, adjusting the trace settings (color, position, sensitivity, etc.), and for making accurate measurements of amplitude, time, and other statistics. Additionally, tools are provided for tagging each "page" of data with a "sleep stage" and for adding event mark tags to significant waveform segments. This module creates one new file, the "scoring" file, which contains a table of all of the "pages" in the file with the tabulations of the sleep stages, user entered event marks, and any other information derived during the reviewing session.

The Report Generator module takes the "scoring file" table generated during the review process and processes it into a more easily readable, condensed and formatted report. From the raw scoring tabulations, the report generator creates totals, averages, minimums, maximums, and correlates the different events. The result is a text report that reduces an average of about 1000 pages of recorded raw data into 2 to 5 pages of summary data and graphs for easier interpretation.

The Montage Editor and File Management utilities are for creating and editing recording setups (channel labels, amplifier settings, trace attributes, etc.) and for copying/archiving recording files, respectively.

#### Important Note:

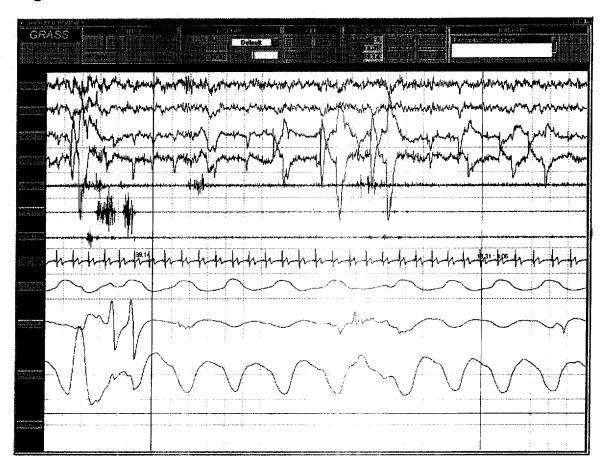
The Grass® NightVision software relies completely on manual user assignments of sleep stage and other significant event marks. NightVision uses the power of the computer whenever possible to aid and speed the scoring and review of the data files by keeping track of relevant signal information (duration, time, signal size, etc.) associated with each user marked event.

Unlike some competitive products on the market, it makes no determination of clinically significant events automatically using algorithms (like apneas or sleep stages). The software does not make any judgement of normality or abnormality of any displayed or recorded signal data or the results or any analysis. The software is not represented as being in and of itself diagnostic.

Grass® Instruments supports the position of the American Sleep Disorders Association that every page of the recording must be manually reviewed due the complexity of the multi-parameter recordings and the confounding aspects of movement and other artifacts typical in an all-night sleep recording.

5. Draft Advertisement

# **®Grass NightVision PSG** *Digital PSG/EEG Software*



Grass NightVision is state-of-the-art Windows95™ polysomnography software with the features, versatility, and quality that you've come to expect from Grass. Together with the new Model 15 Amplifier Neurodata Amplifier System and specially developed electrode selectors and accessory hardware, NightVision completes the first digital PSG ready to carry on the Grass tradition as the Gold Standard in sleep recordings.

#### **Major Features:**

- Embedded control of the Model 15 Neurodata Amplifier system
- Support for 32 channels of acquisition and display
- Support for simultaneous acquisition of two beds
- Simple and accurate calibration of input signal into user units
- Real-time "look-back" and pre-scoring of recorded data, with no memory limits
- Real-time digital filtering, display gain, and trace position control
- Interactive control of all channel parameters "on-the-fly"
- Real-time event marking 24 user definable event marks plus "on-the-fly" text
- Special analysis functions for the scoring/analysis of sleep parameters
- Built in Windows95<sup>™</sup> networking with optional reading stations and printers

### NightVision PSG Software

Designed by *Grass* specifically for polysomnography, NightVision is a brand new Windows95™ software application for recording all-night PSG studies, assisting in rapid review and scoring, and for generating flexible PSG reports. Taking full advantage of the Model 15 amplifier system, NightVision manages the creation and use of multiple recording montages, including downloading the amplifier settings automatically to the Model 15. Data is presented in familiar chart-recorder format in super high-resolution displays with amazing flexibility and fully interactive on-screen controls. Multitasking allows the simultaneous review of previously recorded files or even an on-going recording, with the ability to start scoring on-line. NightVision combines the power and configurability of research grade software with the simplicity and ease-of-use required in a clinical setting.

In accordance with American Sleep Disorders Association guidelines for polysomnography, NightVision uses no automated algorithms for staging sleep or scoring respiratory events. Instead, fast and convenient on-screen scoring tools combined with lightning fast tabulation of scoring results and report generation result in faster scoring - without sacrificing the quality and thoroughness that hands-on, expert scoring guarantees.

| Specifications             |  |  |
|----------------------------|--|--|
| Montages                   | Unlimited number of user definable recording montages                            |  |
| Channels                   | 1 to 32 channels per recording montage   |  |
| Display Settings           | Up to 16 user definable display settings formats per recording montage           |  |
| Display parameters         | ny parameters Trace on/off, Trace color, Trace line style, grid width, baseline, |  |
|                            | sensitivity, digital filters (low, high), digital display on/off                 |  |
| Vertical resolution        | 12-bits (12 nanovolts - 2.44 mV per bit depending on amplifier gain)             |  |
| Recorder sample rate       | 0.1 - 1000Hz, all channels   |  |
| Real-time Annotation       | 23 predefinable markers plus one comment key                                     |  |
| Scoring method             | Computer assisted (non-automated) with on-screen scoring tools                   |  |
| Scoring output             | Editable scoring text file for complete disclosure, allows user overrides        |  |
| Report generation          | Sleep summary; arousal summary; latencies; respiratory disturbance               |  |
|                            | summaries, details and indices; O2sat analysis; correlations of                  |  |
|                            | previous with REM/NREM and body position; graphical displays of                  |  |
|                            | selected channels, trends, events, and hypnograms.                               |  |
| Archive Media              | Recordable CD-ROM (CD-R)   |  |
| <b>Cursor Measurements</b> | leasurements 2 on-screen cursors: amplitude, time, duration, min, max, std.dev., |  |
|                            | integral, area, rate, peak-peak  |  |
| Analysis Functions &       | trend, zoom, FFT, hypnogram, goto mark, goto epoch, goto time,                   |  |
| scoring aids               | marker info,   |  |
| Time axis settings         | 1 second/page to 2 minutes/page  |  |
| Print functions            | print epoch or epoch range, variable timebase compression. Special               |  |
|                            | analysis views also include print window options.                                |  |
| Save functions             | save epoch range in NightVision or common ASCII formats. Save                    |  |
|                            | functions also available in special analysis windows.                            |  |
|                            |  |  |

#### **DEPARTMENT OF HEALTH & HUMAN SERVICES**



Food and Drug Administration 9200 Corporate Boulevard Rockville MD 20850

Mr. Stephen E. Johnson
Engineering Manager, Medical Products
Grass® Instrument Division
Astro-Med, Inc.
Astro-Med Industrial Park
West Warwick, Rhode Island 02893

MAY 1 9 1997

Re: K970951

Trade Name: Grass® Brainwave Software

Regulatory Class: II Product Code: 84GWQ

Dated: February 20, 1997 Received: March 14, 1997

Dear Mr. Johnson:

We have reviewed your Section 510(k) notification of intent to market the device referenced above and we have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act). You may, therefore, market the device, subject to the general controls provisions of the Act. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration.

If your device is classified (see above) into either class II (Special Controls) or class III (Premarket Approval), it may be subject to such additional controls. Existing major regulations affecting your device can be found in the <u>Code of Federal Regulations</u>, Title 21, Parts 800 to 895. A substantially equivalent determination assumes compliance with the Good Manufacturing Practice for Medical Devices: General (GMP) regulation (21 CFR Part 820) and that, through periodic GMP inspections, the Food and Drug Administration (FDA) will verify such assumptions. Failure to comply with the GMP regulation may result in regulatory action. In addition, FDA may publish further announcements concerning your device in the <u>Federal Register</u>. Please note: this response to your premarket notification submission does not affect any obligation you might have under sections 531 through 542 of the Act for devices under the Electronic Product Radiation Control provisions, or other Federal laws or regulations.

This letter will allow you to begin marketing your device as described in your 510(k) premarket notification. The FDA finding of substantial equivalence of your device to a legally marketed predicate device results in a classification for your device and thus, permits your device to proceed to the market.

If you desire specific advice for your device on our labeling regulation (21 CFR Part 801 and additionally 809.10 for in vitro diagnostic devices), please contact the Office of Compliance at (301) 594-4648. Additionally, for questions on the promotion and advertising of your device, please contact the Office of Compliance at (301) 594-4639. Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR 807.97). Other general information on your responsibilities under the Act may be obtained from the Division of Small Manufacturers Assistance at its toll-free number (800) 638-2041 or (301) 443-6597 or at its internet address "http://www.fda.gov/cdrh/dsmamain.html".

Sincerely yours,

Thomas J. Callahan, Ph.D.

Director

Division of Cardiovascular, Respiratory, and Neurological Devices Office of Device Evaluation Center for Devices and Radiological Health

Enclosure

| 510(k) Number (if known):     | К970951  |
|-------------------------------|--|
| Device Name:                  | Grass Brainwave Software   |
| Indications For Use:          | Grass Brainwave Software is intended for use by qualified research and clinical professionals with specialized training in the use of EEG/PSG recording instrumentation for the digital recording, playback, and analysis of physiological signals. Its specifications and features make it especially well suited to electroencephalography and polygraphic sleep recordings. |
|                               |  |
|                               |  |
| (PLEASE DO NOT WRITE BELOW TH | IS LINE - CONTINUE ON ANOTHER PAGE IF NEEDED)  |
|                               | I, Office of Device Evaluation (ODE)   |
|                               | (Division Sign-Off) Division of Cardiovascular, Respiratory, and Neurological Devices 510(k) Number K97095-1   |

Prescription Use (Per 21 CFR 801.109)

OR

Over-The-Counter Use\_\_\_\_

(Optional Format 1-2-96)